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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Derek Shaw et al. Art Unit : 3763  
Serial No. : 09/936,859 Examiner : Kevin C. Sirmons  
Filed : November 15, 2001  
Title : AUTOMATICALLY OPERABLE SAFETY SHIELD SYSTEM FOR SYRINGES

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after the filing of an RCE but before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 3/9/05

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 14, 2005  
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Suzanne O'Neill  
Signature

Suzanne O'Neill  
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06275-283US1	Application No. 09/936,859
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Derek Shaw et al.	
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### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	RE36,398	11/16/1999	Byrne et al.	604	198	
	AB	RE36,885	09/26/2000	Blecher et al.	604	198	
	AC	RE37,439	11/06/2001	Firth	604	110	
	AD	RE37,252	07/03/2001	Hollister	206	364	
	AE	2002-0161337	10/31/2002	Shaw	604	181	
	AF	2,876,770	03/10/1959	White	128	215	
	AG	2,925,083	02/16/1960	Craig	128	218	
	AH	3,323,523	06/06/1967	Scislowicz et al.	128	214.4	
	AI	3,463,152	08/26/1969	Sorenson	128	214.4	
	AJ	3,536,073	10/27/1970	Farb	128	214.4	
	AK	3,574,306	04/13/1971	Alden	128	214.4	
	AL	3,610,240	10/05/1971	Harautuneian	128	214.4	
	AM	3,658,061	04/25/1972	Hall	128	214.4	
	AN	3,884,230	05/20/1975	Wulff	128	221	
	AO	3,904,033	09/09/1975	Haerr	206	349	
	AP	4,048,997	09/20/1977	Raghavachari et al.	12/	215	
	AQ	4,062,353	12/13/1977	Foster et al.	128	1.1	
	AR	4,170,993	10/16/1979	Alvarez	128	214	
	AS	4,365,626	12/28/1982	House	128	218	
	AT	4,573,976	03/04/1986	Sampson et al.	604	198	
	AU	4,655,751	04/07/1987	Harbaugh	604	198	
	AV	4,664,259	05/12/1987	Landis	206	365	
	AW	4,666,435	05/19/1987	Braginetz	604	198	
	AX	4,681,567	07/21/1987	Masters et al.	604	198	
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	BA	4,702,738	10/27/1987	Spencer	604	198	

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	BC	4,743,233	05/10/1988	Schneider	604	192	
	BD	4,758,231	07/19/1988	Haber et al.	604	198	
	BE	4,772,272	09/20/1988	McFarland	604	198	
	BF	4,816,024	03/28/1989	Sitar	604	192	
	BG	4,826,490	05/02/1989	Byrne et al.	604	198	
	BH	4,846,796	07/11/1989	Carrell et al.	604	110	
	BI	4,846,805	07/11/1989	Sitar	604	165	
	BJ	4,863,435	09/05/1989	Sturman et al.	604	198	
	BK	4,867,746	09/19/1989	Dufresne	604	192	
	BL	4,874,384	10/17/1989	Nunez	604	198	
	BM	4,892,523	01/09/1990	Haber et al.	604	198	
	BN	4,897,083	01/30/1990	Martell	604	192	
	BO	4,923,445	05/08/1990	Ryan	604	198	
	BP	4,923,447	05/08/1990	Morgan	604	198	
	BQ	4,927,018	05/22/1990	Yang et al.	604	198	
	BR	4,927,416	05/22/1990	Tomkiel	604	198	
	BS	4,931,048	06/05/1990	Lopez	604	110	
	BT	4,969,877	11/13/1990	Kornberg	604	195	
	BU	4,998,924	03/12/1991	Ranford	604	198	
	BV	5,000,744	03/19/1991	Hoffman et al.	604	232	
	BW	5,002,537	03/26/1991	Hoffman et al.	604	232	
	BX	5,011,479	04/30/1991	Le et al.	604	198	
	BY	5,013,301	05/07/1991	Marotta, Jr. et al.	604	197	
	BZ	5,045,066	09/03/1991	Scheuble et al.	604	198	
	CA	5,059,185	10/22/1991	Ryan	604	198	
	CB	5,066,277	11/19/1991	Carrell et al.	604	110	

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	CC	5,067,945	11/26/1991	Ryan et al.	604	198	
	CD	5,084,030	01/28/1992	Byrne et al.	604	198	
	CE	5,098,382	03/24/1992	Haber et al.	604	110	
	CF	5,098,403	03/24/1992	Sampson	604	198	
	CG	5,127,910	07/07/1992	Talonn	604	198	
	CH	5,137,521	08/11/1992	Wilkins	604	198	
	CI	5,141,500	08/25/1992	Hake	604	198	
	CJ	5,147,326	09/15/1992	Talonn	604	198	
	CK	5,152,751	10/06/1992	Kozlowski	604	192	
	CL	5,154,698	10/13/1992	Compagnucci et al.	604	110	
	CM	5,176,656	01/05/1993	Bayless	604	198	
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	CO	5,197,953	03/30/1993	Colonna	604	110	
	CP	5,201,720	04/13/1993	Borgia et al.	604	198	
	CQ	5,207,646	05/04/1993	Brunel	604	110	
	CR	5,215,535	06/01/1993	Gettig et al.	604	198	
	CS	5,217,437	06/08/1993	Talonn et al.	604	198	
	CT	5,242,416	09/07/1993	Hutson	604	192	
	CU	5,259,841	11/09/1993	Hohendorf et al.	604	110	
	CV	5,269,766	12/14/1993	Haber et al.	604	198	
	CW	5,279,579	01/18/1994	D'Amico	604	192	
	CX	5,300,039	04/05/1994	Poulsen	604	198	
	CY	5,304,149	04/19/1994	Morigi	604	192	
	CZ	5,306,258	04/26/1994	de la Fuente	604	198	
	DA	5,336,185	08/09/1994	Lynch et al.	604	110	
	DB	5,344,404	09/06/1994	Benson	604	110	
	DC	5,344,407	09/06/1994	Ryan	604	192	

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	DE	5,385,557	01/31/1995	Thompson	604	198	
	DF	5,403,288	04/04/1995	Stanners	604	232	
	DG	5,417,660	05/23/1995	Martin	604	110	
	DH	5,433,712	07/18/1995	Stiles et al.	604	197	
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	DK	5,492,536	02/20/1996	Mascia	604	197	
	DL	5,496,286	03/05/1996	Stiehl et al.	604	232	
	DM	5,498,244	03/12/1996	Eck	604	198	
	DN	5,522,812	06/04/1996	Talonn et al.	604	198	
	DO	5,514,107	05/07/1996	Haber et al.	604	197	
	DP	5,527,294	06/18/1996	Weatherford et al.	604	198	
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	DR	5,549,572	08/27/1996	Byrne et al.	604	198	
	DS	5,554,122	09/10/1996	Emanuel	604	110	
	DT	5,558,649	09/24/1996	Shields	604	192	
	DU	5,569,211	10/29/1996	Lekhgolts et al.	604	195	
	DV	5,573,513	11/12/1996	Wozencroft	604	198	
	DW	5,595,566	01/21/1997	Vallelunga et al.	604	197	
	DX	5,609,577	03/11/1997	Haber et al.	604	110	
	DY	5,624,400	04/29/1997	Firth et al.	604	110	
	DZ	5,658,254	08/19/1997	Reichenback	604	192	
	EA	5,681,291	10/28/1997	Galli	604	192	
	EB	5,735,827	04/07/1998	Adwers et al.	604	263	
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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	EF	5,891,092	04/06/1999	Castellano	604	110	
	EG	5,891,105	04/06/1999	Mahurkar	604	195	
	EH	5,893,845	04/13/1999	Newby et al.	604	198	
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	EL	5,984,899	11/16/1999	D'Alessio et al.	604	198	
	EM	5,993,418	11/30/1999	Alexander	604	110	
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	EO	6,017,329	01/25/2000	Hake	604	198	
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	ES	6,080,135	06/27/2000	Van Stokkum	604	198	
	ET	6,080,137	06/27/2000	Pike	604	263	
	EU	6,090,065	07/18/2000	Giles	604	74	
	EV	6,090,077	07/18/2000	Shaw	604	195	
	EW	6,102,893	08/15/2000	Aneas	604	110	
	EX	6,102,894	08/15/2000	Dysarz	604	110	
	EY	6,106,500	08/22/2000	Mahurkar	604	195	
	EZ	6,110,147	08/29/2000	Perouse	604	198	
	FA	6,117,112	09/12/2000	Mahurkar	604	194	
	FB	6,123,688	09/26/2000	Botich et al.	604	220	
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	FF	6,156,011	12/05/2000	Ruminson	604	192	
	FG	6,156,013	12/05/2000	Mahurkar	604	195	
	FH	6,162,197	12/19/2000	Mohammad	604	195	
	FI	6,171,283	01/09/2001	Perez et al.	604	192	
	FJ	6,179,812	01/30/2001	Botich et al.	604	110	
	FK	6,203,527	03/20/2001	Zadini	604	110	
	FL	6,206,853	03/27/2001	Bonnet	604	110	
	FM	6,206,856	03/27/2001	Mahurkar	604	195	
	FN	6,213,987	04/10/2001	Hirsch et al.	604	263	
	FO	6,224,575	05/01/2001	Garvin	604	195	
	FP	6,254,575	07/03/2001	Thorne, Jr. et al.	604	198	
	FQ	6,258,068	07/10/2001	Kirchhofer et al.	604	208	
	FR	6,261,264	07/17/2001	Tamaro	604	198	
	FS	6,261,265	07/17/2001	Mosseri	604	198	
	FT	6,273,870	08/14/2001	Garvin	604	110	
	FU	6,344,032	02/05/2002	Perez et al.	604	198	
	FV						
	FW						
	FX						
	FY						
	FZ						

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	GA	DE0 813 433	08 JUL 1949	GERMANY			Abstract	
	GB	DE0 924 734	01 MAY 1963	GERMANY			In English	
	GC	DE1 233 302	26 MAY 1971	GERMANY			In English	

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							Yes	No
	GD	DE1 297 746	29 NOV 1972	GERMANY			In English	
	GE	EP0 307 367	15 MAR 1989	EUROPE			In English	
	GF	EP0 467 173	22 JAN 1992	EUROPE			In English	
	GG	EP0 740 942	11 AUG 1999	EUROPE			Abstract	
	GH	GB2 059 268	23 APR 1981	GREAT BRITAIN			In English	
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	GK	GB2 283 425	10 MAY 1995	GREAT BRITAIN			In English	
	GL	JP49-004797	16 JAN 1974	JAPAN			Abstract	
	GM	WO95/04565	16 FEB 1995	WIPO / PCT			In English	
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Examiner Initial	Desig. ID	Document
	GO	
	GP	
	GQ	
	GR	

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